

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Akihiko ENDO et al.

Group Art Unit : Not Yet Assigned

Appl. No. : 10/570,669

(U.S. National Stage of PCT/JP2004/013068)

I.A. Filed : September 8, 2004

Examiner : Not Yet Assigned

For : SOI WAFER AND ITS MANUFACTURING METHOD

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop AMENDMENT  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir :

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and §§1.97-1.98, Applicants hereby bring the following information to the attention of the Examiner, which includes information cited and discussed in the specification and the International Search Report issued in connection with International Patent Application No. PCT/JP2004/013068, of which the present application is the U.S. National Stage Application. A copy of the International Search Report was enclosed with the papers when entering the U.S. National Stage on March 6, 2006. The Examiner is invited to review these materials to inspect the relevance indicated during international examination with respect to the documents cited therein. The following is a list of the documents cited in the above-noted documents:

- (1) Japanese Laid-open Patent Publication No. HEI 9-326396, together with an English language abstract and machine translation of the same. Applicants note that the Japanese document is cited on page 3 of the specification of the present application;
- (2) Japanese Laid-open Patent Publication No. HEI 2-267195, together with an English language abstract of the same. Applicants note that the Japanese document is cited on page 3 of the specification of the present application;
- (3) Japanese Laid-open Patent Publication No. HEI 8-139295, together with patent family member U.S. Patent No. 5,753,353;
- (4) Japanese Laid-open Patent Publication No. HEI 11-307470, together with an English language abstract and machine translation of the same;
- (5) Japanese Laid-open Patent Publication No. HEI 8-37286, together with patent family member U.S. Patent No. 6,008,110; and
- (6) Japanese Laid-open Patent Publication No. HEI 5-226620, together with an English language abstract and machine translation of the same.

Applicants also call to the Examiner's attention the following documents:

- (7) U.S. Patent Application No. 10/570,665 to ENDO et al., which was filed on March 6, 2006;
- (8) U.S. Patent Application No. 10/570,663 to ENDO et al., which was filed on March 6, 2006;
- (9) U.S. Patent Application No. 10/569,942 to ENDO et al., which was filed on February 28, 2006;

- (10) U.S. Patent Application No. 10/570,668 to ENDO et al., which was filed on March 6, 2006;
- (11) U.S. Patent Application No. 10/570,353 to MORIMOTO et al., which was filed on March 3, 2006; and
- (12) U.S. Patent Application No. 10/570,354 to MORIMOTO et al., which was filed on March 3, 2006.

Further to 37 C.F.R. §1.98 (a)(2)(ii), copies of the U.S. patents are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

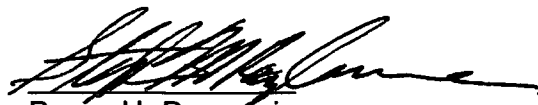
Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent applications were filed after June 30, 2003, copies of the U.S. patent applications are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicant respectfully requests that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents are attached hereto and all of the above-noted documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted,  
Akihiko ENDO et al.



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FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P29121Application No.  
10/570,669INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant  
Akihiko ENDO et al.I.A. Filing Date  
September 8, 2004Group  
~~Not Yet Assigned~~

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 7 5 3 3 5 3	05/19/98	KIKUCHI			
	6 0 0 8 1 1 0	12/28/99	SAMATA et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
9	- 3 2 6 3 9 6	12/16/97	JAPAN			X
2	- 2 6 7 1 9 5	10/31/90	JAPAN			
8	- 1 3 9 2 9 5	05/31/96	JAPAN			
11	- 3 0 7 4 7 0	11/05/99	JAPAN			X
8	- 0 3 7 2 8 6	02/06/96	JAPAN			
5	- 2 2 6 6 2 0	09/03/93	JAPAN			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1	English Language Abstract of JP 9-326396.
2	English Language Abstract of JP 2-267195.
3	English Language Abstract of JP 11-307470.
4	English language Abstract of JP 5-226620.
5	U.S. Patent Application No. 10/570,665 to ENDO et al., filed on March 6, 2006.
6	U.S. Patent Application No. 10/570,663 to ENDO et al., filed on March 6, 2006.
7	U.S. Patent Application No. 10/569,942 to ENDO et al., filed on February 28, 3006.
8	U.S. Patent Application No. 10/570,668 to ENDO et al., filed on March 6, 2006.
9	U.S. Patent Application No. 10/570,353 to MORIMOTO et al., filed on March 3, 2006.
10	U.S. Patent Application No. 10/570,354 to MORIMOTO et al., filed on March 3, 2006.

EXAMINER

/Asok Kumar Sarkar/ (04/21/2008)

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/